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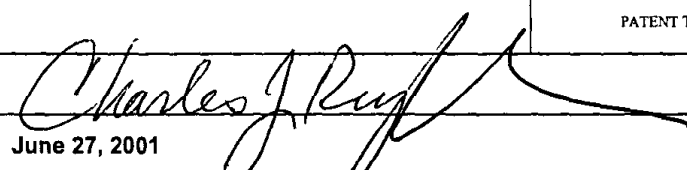
Application Number	09/632,388 ✓
Filing Date	August 3, 2000
First Named Inventor	Shin Hwa Li
Group Art Unit	2823
Examiner Name	Kurt Eaton
Attorney Docket No.	98-P-009C1(850063.529C1)

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement; Form PTO-1449	<input type="checkbox"/> Declaration	<input type="checkbox"/> Additional Enclosure(s) (please identify below): _____
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<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	_____
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Charles J. Rupnick (Reg. No. 43,068)	 28899 PATENT TRADEMARK OFFICE
Signature		
Date	June 27, 2001	

CERTIFICATE OF MAILING

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EXPRESS MAIL NO. EL755729994US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shin Hwa Li et al.
Application No : 09/632,388
Filed : August 3, 2000
For : SEMICONDUCTOR STRUCTURE HAVING AN IMPROVED
PRE-METAL DIELECTRIC STACK AND METHOD FOR
FORMING THE SAME

Art Unit : 2823
Docket No. : 98-P-009C1 (850063.529C1)
Date : June 27, 2001

Box Non-Fee Amendment
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AMENDMENT

Commissioner for Patents:

In response to the Office Action dated March 27, 2001, please amend the application as follows:

In the Claims:

Please add new claims 20-27 to read as follows:

20. (New) A semiconductor device sub-structure, comprising:
a substrate;
an oxide layer disposed over the substrate in a pattern having a physical contour
of at least one or more recessed portions and at least one or more extended portions;